

#4

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:
Giovanni Gilardi, et al.

Serial No.: Unknown

Confirmation No.: Unknown

Filed: Herewith

For: LITHIUM NIOBATE
OPTICAL MODULATOR

§
§ Group Art Unit: Unknown
§
§
§ Examiner: Unknown
§ Customer No.: 47389
§

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

CERTIFICATE OF MAILING 37 CFR 1.10	
I hereby certify that this New Application and the documents referred to as enclosed therein are being deposited with the United States Postal Service on <u>11 MARCH 2005</u> , in an envelope marked as "Express Mail United States Postal Service", Mailing Label No. EV509288985US, addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.	
<u>Walter C. Grollitsch</u> Signature	<u>Walter C. Grollitsch</u> Typed or Printed Name
48,678 Registration No., if applicable	(713) 623-4844 Telephone Number

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The Applicants, and the Attorney who signs below on the basis of the information supplied by the inventor and the information in his file, submit herewith patents, publications, or other information of which they are aware, which may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR § 1.56.

While the information submitted in this Information Disclosure Statement may be material pursuant to 37 CFR § 1.56, it is not intended to constitute an admission that any patent, publication, or other information referred to therein is prior art for this invention unless specifically designated as such.

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10/527607

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The patents and/or publications submitted herewith are set forth on the attached Form PTO-1449.

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Respectfully submitted,

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12 Rec'd PCOAB/p3 (08-02)

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#1

Substitute for form 1449A/PTO		<i>Complete If Known</i>	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT		<i>Application Number</i>	Unknown
		<i>Filing Date</i>	Herewith
		<i>First Named Inventor</i>	Giovanni Gilardi
		<i>Art Unit</i>	Unknown
		<i>Examiner Name</i>	Unknown
<i>(Use as many sheets as necessary)</i>		<i>Attorney Docket Number</i>	AVAN/000835US
Sheet	1	of	2

U.S. PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS

Examiner Signature		Date Considered	
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***EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.¹ Applicant's unique citation designation number (optional).² See *Kinds Codes of USPTO Patent Documents* at www.uspto.gov or MPEP 901.04.³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3).⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible.⁶ Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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<p>Substitute for form 1449B/PTO</p> <p>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</p> <p>(Use as many sheets as necessary)</p>				Complete if Known	
				<i>Application Number</i>	Unknown
				<i>Filing Date</i>	Herewith
				<i>First Named Inventor</i>	Giovanni Gilardi
				<i>Art Unit</i>	Unknown
				<i>Examiner Name</i>	Unknown
Sheet	2	of	2	<i>Attorney Docket Number</i>	
				AVAN/000835US	

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